

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael A. FRIDLEY

Serial No.: 09/813,915      Group Art Unit: 1722

Filed: March 22, 2001

For: SELF-ALIGNING CUTTER HUB ASSEMBLY

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant, through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached. The Examiner is requested to make these references of record in the file of this application.

In accordance with 37 CFR §1.97(b)(1) or (2), this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, or

within three months of the date of entry into the national stage of the above-identified application as set forth in 37 CFR §1.491. Accordingly, no fee is required.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By:

Harvey B. Jacobson, Jr.  
Reg. No. 20,851

400 Seventh Street, N.W.  
Washington, D.C. 20004-2201  
(202) 638-6666  
Atty. Dkt. No.: P66259US0  
HBJ/dlj  
Date: June 20, 2001

**JACOBSON HOLMAN PLLC**  
 400 SEVENTH STREET, N.W.  
 WASHINGTON, D.C. 20004-2201

## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P66259US0  
 SERIAL NO.: 09/813,915  
 APPLICANT(S): Michael A. FRIDLEY

GROUP ART UNIT: 1722  
 FILING DATE: March 22, 2001

\*\*\*\*\*  
 U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (If Appropriate)
AA	<u>3,196,487</u>	<u>7/27/65</u>	<u>Snelling</u>	<u>18</u>	<u>12</u>	
AB	<u>4,123,207</u>	<u>10/31/78</u>	<u>Dudley</u>	<u>425</u>	<u>67</u>	
AC	<u>4,251,198</u>	<u>2/17/81</u>	<u>Altenburg</u>	<u>425</u>	<u>67</u>	
AD	<u>4,500,271</u>	<u>2/19/85</u>	<u>Smith</u>	<u>425</u>	<u>67</u>	
AE	<u>4,621,996</u>	<u>11/11/86</u>	<u>Hundley, III</u>	<u>425</u>	<u>190</u>	
AF	<u>4,728,276</u>	<u>3/1/88</u>	<u>Pauley et al.</u>	<u>425</u>	<u>67</u>	
AG	<u>5,059,103</u>	<u>10/22/91</u>	<u>Bruckmann et al</u>	<u>425</u>	<u>67</u>	
AH	<u>5,403,176</u>	<u>4/4/95</u>	<u>Bruckmann et al</u>	<u>425</u>	<u>464</u>	
AI	<u>5,624,688</u>	<u>4/29/97</u>	<u>Adams et al.</u>	<u>425</u>	<u>67</u>	
AJ						
AK						

\*\*\*\*\*  
 FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES) (NO)
AL	<u>26 38 126</u>	<u>3/16/78</u>	<u>Germany</u>			<u>X</u> :
AM						:
AN						:
AO						:
AP						:
AQ						:
AR						:

\*\*\*\*\*  
 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AS \_\_\_\_\_

AT \_\_\_\_\_

AU \_\_\_\_\_

EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

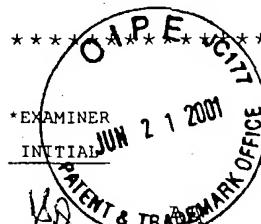
\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

**JACOBSON HOLMAN PLLC**  
 400 SEVENTH STREET, N.W.  
 WASHINGTON, D.C. 20004-2201

## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P66259US0  
 SERIAL NO.: 09/813,915  
 APPLICANT(S): Michael A. FRIDLEY

GROUP ART UNIT: 1722  
 FILING DATE: March 22, 2001



## U. S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	SUB-	FILING DATE
				CLASS	CLASS (If Appropriate)
JD	3,196,487	7/27/65	Snelling	18	12
JSQ	4,123,207	10/31/78	Dudley	425	67
JSQ	4,251,198	2/17/81	Altenburg	425	67
JSQ	4,500,271	2/19/85	Smith	425	67
JSQ	4,621,996	11/11/86	Hundley, III	425	190
JSQ	4,728,276	3/1/88	Pauley et al.	425	67
JSQ	5,059,103	10/22/91	Bruckmann et al	425	67
JSQ	5,403,176	4/4/95	Bruckmann et al	425	464
JSQ	5,624,688	4/29/97	Adams et al.	425	67
AJ					
AK					

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	SUB-	TRANSLATION
				CLASS	CLASS (YES) (NO)
JSQ	AL 26 38 126	3/16/78	Germany	IC	X :
	AM			1	:
	AN			JUN	:
	AO			25	:
	AP			MAIL	:
	AQ			2001	:
	AR			RU	SEARCHED :

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AS \_\_\_\_\_  
 AT \_\_\_\_\_  
 AU \_\_\_\_\_

EXAMINER Joseph S. Gal Sible DATE CONSIDERED 6/19/02

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

**Notice of References Cited**

Application/Control No.  
09/813,915

Applicant(s)/Patent Under  
Reexamination  
FRIDLEY, MICHAEL A.

Examiner  
Joseph S. Del Sole

Art Unit  
1722

Page 1 of 2

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5,624,688	04-1997	Adams et al.	425/196
	B	US-5,230,659	07-1993	Bird et al.	464/143
	C	US-4,358,283	11-1982	Kumpar, Zvonimir Z.	464/143
	D	US-2,182,455	12-1939	Smith	464/145
	E	US-2,615,317	10-1952	Rzeppa	464/141
	F	US-2,150,952	03-1939	Ward	464/146
	G	US-5,599,234	02-1997	Harz et al.	464/145
	H	US-5,632,683	05-1997	Fukumura et al.	464/144
	I	US-5,823,883	10-1998	Hopson, Michael W.	464/145
	J	US-2,047,660	07-1936	Anderson	464/144
	K	US-2,653,456	09-1953	Heym	464/141
	L	US-2,381,096	08-1945	Abbott	464/145
	M	US-3,982,840	09-1976	Grosseau, Albert	29/434

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

<b>Notice of References Cited</b>		Application/Control No. 09/813,915	Applicant(s)/Patent Under Reexamination FRIDLEY, MICHAEL A.	
		Examiner Joseph S. Del Sole	Art Unit 1722	Page 2 of 2

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-3,176,477	04-1965	Mazziotti	464/144
	B	US-1,916,442	07-1933	Rzeppa	464/145
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.